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1855-1930

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1859-1951

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September 8, 1999

Attorney Docket No.: 10575/004001

## Box Patent Application

Assistant Commissioner for Patents  
Washington, DC 20231

Presented for filing is a new original patent application of:

Applicant: PETER B. MADOFF, GLEN R. SHIPWAY AND ANDREW S. MARGOLIN  
Title: OPENING PRICE PROCESS FOR TRADING SYSTEM

Enclosed are the following papers, including all those required to receive a filing date under 37 CFR §1.53(b):

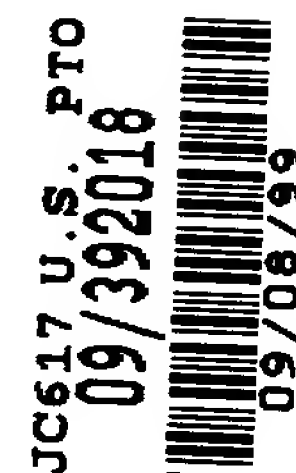
|               | <u>Pages</u> |
|---------------|--------------|
| Specification | 21           |
| Claims        | 6            |
| Abstract      | 1            |
| Declaration   | 2 (unsigned) |
| Drawing(s)    | 8            |

Enclosures:  
• Postcard.

|   |           |
|---|-----------|
| Basic filing fee                                | \$ 760.00 |
| Total claims in excess of 20 times \$18.00      | 90.00     |
| Independent claims in excess of 3 times \$78.00 | 0.00      |
| Multiple dependent claims                       | 0.00      |

"EXPRESS MAIL" Mailing Label Number EL445375494  
Date of Deposit September 8, 1999  
I hereby certify under 37 CFR 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office To Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Total filing fee:

\$ 850.00

Under 37 CFR §1.53(d), no filing fee is being paid at this time. Please apply any other required fees, **EXCEPT FOR THE FILING FEE**, to deposit account 06-1050, referencing the attorney docket number shown above. A duplicate copy of this transmittal letter is attached.


If this application is found to be INCOMPLETE, or if a telephone conference would otherwise be helpful, please call the undersigned at 617/542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

Denis G. Maloney  
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Respectfully submitted,

  
Denis G. Maloney  
Reg. No. 29,670

Enclosures

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